TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Typed or printed name

Signature

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

10/085,839

February 25, 2002

Michael A. Kozuch

3.1

April 25, 2003

Date

2182 **Examiner Name** Unassigned Total Number of Pages in This Submission 11 Attorney Docket Number 42390P10794 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final **Proprietary Information** Petition* Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter Extension of Time Request Other Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): **Express Abandonment Request** Terminal Disclaimer 5 Cited References Information Disclosure Statement PTO/SB/08 Request for Refund Certified Copy of Priority Document(s) CD, Number of CD(s) RECEIVED Response to Missing Parts/ Incomplete Application APR 3 0 2003 Remarks Basic Filing Fee Technology Center 2100 Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm William W. Schaal, Reg. No. 39,018 Individual name BLAKELY, SØKOLOFF, TAYLOR & ZAFMAN LLP Signature Date April 25, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 25, 2003

Application No.

Group Art Unit

First Named Inventor

Filing Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Palent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Corrinn R. Reynolds

PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE	TR	AŅ	SMI	T	TAL
1	for	FY	200	3	

Effective 01/01/2003. Patent fees are subject to annual revision.

(\$)

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known				
Application Number	10/085,839			
Filing Date	February 25, 2002			
First Named Inventor	Michael A. Kozuch			
Examiner Name	Unassigned			
Group/Art Unit	2182			
Attorney Docket No.	42390P10794			

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)										
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None			3. ADDITIONAL FEES											
	Check Credit card Order Other None		Large Entity Small E		il Entity	,								
П ребози	ACCOU,						Fee	Fee	Fee	Fee	-			
Deposit Account			-	02-2666			Code	(\$)	Code	(\$)	Fee	e Description		Fee Paid
Number				02-2000	,		1051	130	2051	65	Surcharge - late filing			
Deposit		1052	50	2052	25	Surcharge - late prov cover sheet,	isional filing fee or							
Account Name	Account Name Blakely, Sokoloff, Taylor & Zafman LLP		2053	130	2053	130	Non-English specifica	ation		 -				
The Commis	sioner	is auth	orized to	o: (check all that a	apply)		1812	2,520	1812	2,520	For filing a request for		nation	
☐ Charge f				<u> </u>	edit any overpay	ments	1804	920 *	1804	920 1	Requesting publication	on of SIR prior to		
Charge a	any add	ditional fe	ee(s) req	uired under 37 CF	R §§ 1,16, 1,17	1.18 and 1.20.	1805	1,840 *	1805	1,840 1		on of SIR after		
=	fee(s) i	ndicated	below. e	except for the filin	na fee		1605	1,040	1003	1,040	Examiner action	,,, o, o,,, u,,,,,		
to the ab							1251	110	2251	55	Extension for reply wi	thin first month		
		FE	EE CA	LCULATIO	N		1252	410	2252	205	Extension for reply wi	thin second month		
1. BA	SIC	FILIN	IG FE	E			1253	930	2253	465	Extension for reply with	thin third month		
Large Entity		Small	Entity				1254	1,450	2254	725	Extension for reply with	thin fourth month		
	=ee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	1255	1,970	2255	985	Extension for reply with	thin fifth month		
							1404	320	2401	160	Notice of Appeal			
	750	2001	375	Utility filing fee			1402	320	2402	160	Filing a brief in suppo	rt of an appeal		
	330 520	2002	165 260	Design filing fee Plant filing fee	е		1403	280	2403	140	Request for oral hear	ing		
	750	2003 2004	375	Reissue filing for	ee		1451	1,510	2451	1,510	Petition to institute a	public use proceed	ling	
	160	2005	80	Provisional filin			1452	110	2452	55	Petition to revive - un	avoidable		
1000	I	2000					1453	1,300	2453	650	Petition to revive - un	intentional	_	
	SUBTOTAL (1) (\$)			1501	1,300	2501	650	Utility issue fee (or re	issue)	SINE	V			
2. EX	2. EXTRA CLAIM FEES Extra Fee from		1502	470	2502	235	Design issue fee	2	ECE,	-03				
	_			Claims	below	Fee Paid	1503	630	2503	315	Plant issue fee	~	007	1903
Total Claims			. 20	= X	=		1460	130	2460	130	Petitions to the Comm	missioner	Vbb 2	2100
Independent Claims			. з	= X]=		1807	50	1807	50	Processing fee under	37 CFR 1.17(q)	~. C6	Uter -
Multiple Deper	ndent				=		1806	180	1806	180	Submission of Inform	ation Disclosure St	annology J	
Large Entity		Small E	intity	_			8021	40	8021	40	Petition to revive - un Petition to revive - un Utility issue fee (or re Design issue fee Plant issue fee Petitions to the Common Processing fee under Submission of Inform Recording each pater property (times numb Filling a submission af (37 CFR § 1.129(a)) For each additional in	nt assignment per	30111	
Fee Fe Code (\$		Fee Code	Fee (\$)	Fee Description							Filian a submission of	ter final rejection		
· ·	18	2202	9	Claims in excess	of 20		1809	750	1809	375	(37 CFR § 1.129(a))	ter imai rejection		
	B4	2202	42	Independent clair		3	1810	750	2810	375	For each additional in	vention to be		
1203 28		2203	140	Multiple Depende							examined (37 CFR §			
	B4	2204	42	**Reissue indepe			1801	750	2801	375	Request for Continue		E)	
				patent			1802	900	1802	900	Request for expedited of a design application			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent			Other fe	e (specify)										
					(\$)		*Reduced	by Basic Film	Fee Paid	t		SUBTOTAL (3)	(\$)	
***	or numl	oer previ	ously pa	id, if greater, For F	≺eissues, see be	erow	<u></u>							
SUBMI	ITTE	D BY										Comp	lete (if applica	ble)
Name (P	rint/Tire	ا _{دم}	X7:11:	m W Cake	1		Re	egistratic	n No.	1 1	0.010	Tolophono	(503) 684	L-6200

(Attorney/Agent) 39,018 04/25/03 Signature Date

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Docket No.: 42390P10794



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in Re the Application of:

MICHAEL A. KOZUCH, ET AL.

Application No.: 10/085,839

Filed: February 25, 2002

For: METHOD AND APPARATUS FOR

LOADING A TRUSTABLE OPERATING

SYSTEM

Art Group: 2182

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

RECEIVED

APR 3:0 2003

Technology Center 2100

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 25, 2003

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

April 25, 2003

04-25-03

Corrinn R. Reynolds

Date

Substitute for Form 1449A/PTO (Modified)				Complete if Known						
410		MATIO	או טופכ	LOSURE	Application Number					
OUL			N DISC	LOSURE PLICANT	Filing Date		2/25/2002 Kozuch, et al.			
S	TATE	₹MENT	BY API	PLICANT	First Named Inventor:					
APR 2 8 2003 (uge as many sheets as necessary)					Group Art Unit		2182			
	٧,	5/			Examiner Name		Unassigned			
Sice trace of 1		Attorney Docket Numl	er	042390.P10	794					
				U.S. PATE	NT DOCUMENTS		÷ '	-		
Exam. Cite U.S. Patent Document Name of Positial* No.1 of Ci		atentee or Applicant ted Document	Cited	Publication of Document	Pages, Columns, Lines, Where Relevant					
		Number Code ²	Kind (If known)		MM-		DD-YYYY	Passages or Relevant Figures Appear		
						_				
							1 1 11 11 11 11 11			
				-						
								CENED		
,							- PH	CEIVED		
							A	R 3 0 2003		
								plogy Center 2100		
							(BOIII)			
						-				
										

	1		FOREIGN PATENT DOCUMENT			
Exam. Cite		Foreign Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Columns, Lines, Where Relevant Passages or Relevant	T
Initial* No.1 Offi	Office ³ Number ⁴ Kind Code ⁵	of Cited Document MM-DD-YYYY				
		(If known)		IVIIVI-DD-1111	Figures Appear	
		WO 97/29567	Gressel			
		WO 01/75565	Ellison			
		WO 01/75595 <	Ellison			
		WO 01/27723A7	Pearson			
		WO 01/27821A	Pearson			

Examiner		Date Considered	
Signature			

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.